I hereby certify that this correspondence is being deposited with the U.S Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 22313-1450, on the date shown below.

ed: February 25, 2004

Docket No.: 101896-175

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Moumene et al.

Application No.: 10/606,200

Art Unit: 3732 Filed: June 25, 2003

LOCKING CAP ANCHOR ASSEMBLY FOR Examiner: E.C. Robert For:

SPINAL FIXATION INSTRUMENTATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- Supplemental Information Disclosure Statement; 1.
- 2. PTO/SB/08 Form cited (1) reference thereon;
- 3. A copy of reference cited; and
- 4. Return receipt postcard.

The Commissioner is hereby authorized to charge any underpayments or overpayments in connection with this filing to our Deposit Account No. 141449, Reference No. 101896-175, Customer No. 021125. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Ronald E. Cahill, Reg. No. 38,403

Attorney for Applicant World Trade Center West

155 Seaport Blvd.

Boston, MA 02210-2604

Tel: (617) 439-2782 Fax (617) 310-9782

OIPE CAR DE TRACEMENT IN 1

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, V.

Dated: February 25, 2004

ure: (Papald E Cabill)

Docket No.: 101896-0175

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Moumene et al.

Application No.: 10/606,200

Filed: June 25, 2003 Art Unit: 3732

For: LOCKING CAP ANCHOR ASSEMBLY FOR

SPINAL FIXATION INSTRUMENTATION

Examiner: E. C. Robert

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A copy of each reference on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

Application No.: 10/606,200

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 141449, under Order No. 101896-175. A duplicate copy of this paper is enclosed.

Dated: February 25, 2004

Respectfully submitter

Ronald E. Cahill

Registration No.: 38,403

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Substitute for form 1449A/B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1 Sheet 1

Complete if Known				
Application Number	10/606,200			
Filing Date	June 25, 2003			
First Named Inventor	M. Moumene			
Art Unit	3732			
Examiner Name	E. C. Robert			
Attorney Docket Number	101896-0175			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	BD	US-2004/0030337	02-12-2004	Alleyne et al.		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS				
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Examiner	Date	•	
Signature	Con	sidered	

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